



GENERAL SERVICE FEES AND TRANSACTION CHARGES 一般服務收費及交易費用

Commission 佣金	
Brokerage Commission 經紀佣金	0.25% of transaction amount, minimum HK100 成交金額之 0.25 %, 最低收費為港幣 100 元

Regulators transaction fees 監管機構交易收費	
SFC Transaction Levy 香港證監會交易徵費	0.0027% of transaction amount 成交金額之 0.0027%
HKEX Trading Fee 聯交所交易費	0.005% of transaction amount 成交金額之 0.005%
CCASS Stock Settlement Fee 中央結算系統交收費	0.005% of transaction amount, minimum HK\$5.00 成交金額之 0.005% 最低收費 HK\$5.00
Stamp Duty of Inland Revenue Department 香港稅務局印花稅	0.1% of transaction amount, rounded up to the nearest dollar 成交金額之 0.1% 上捨入至最接近元
Trading Tariff 聯交所交易系統使用費	HK\$0.5 per transaction 每單交易 HK\$0.5

Account maintenance fee 賬戶維護費	
E- statement 電子結單	Free 免費
Paper - statement 郵寄結單	Free 免費
Audit Confirmation 審計證明	HK\$150 港幣 150 元

Script Handling and Settlement-Related Services 處理實物股票及交收服務	
Transfer Deed Stamp Duty 轉手紙釐印費	HK\$ 5 per lot 每張轉手紙 HK\$5
Physical Scrip Withdrawal Fee 提取實物股票費	HK\$ 5 per lot (minimum HK\$20 per stock) 每隻股票每手 HK\$5 最低收費每隻股票 HK\$20
Handling Charge for Share Certificate Returned or Rejected by Registrar 股票被過戶處退回或拒收之手續費	HK\$ 150 per stock 每隻股票 HK\$150
Settlement Instruction (SI) 中央結算證券交收指示 (SI)	0.1% per stock (minimum HK\$100 per stock) 股票市值 0.1% 最低收費每隻股票 HK\$100
Investor Settlement Instruction (ISI) 股票轉出-經中央結算-ISI	HK\$30 per stock 每隻股票 HK\$30
Compulsory Share Buy-Back 強制性補購股份	HK\$ 300 per transfer (plus charge from CCASS) 每宗 HK\$300 另加中央結算收費

(Above charge are applicable to withdrawal instruction only. There is free of charge for deposit instruction)

(以上收費只適用於提取指示，存入指示費用全免)

Nominee Services and Corporate Actions 代理人服務及企業行動		
Registration Fee 過戶登記	HK\$ 2 per stock 每手股票 HK\$2	
Dividend Collection 代收現金股息	所收股息之 0.5% 最低收費 HK\$20 最高收費 HK\$10,000	0.5% of gross dividend, min. HK\$20 max. HK\$10,000
Bonus Collection 代收股票股息	每手股票 HK\$1.5 最低收費每隻股票 HK\$20	HK\$1.5 per lot minHK\$20
Corporate Action Fee 公司行動費用	每手股票 HK\$2 最低收費 HK\$20 最高收費 HK\$500	HK\$2 per lot min. HK\$20 max. HK\$500
Cash Offer and Shares Privatization 現金收購及股票私有化	收購總金額 0.15% 最低收費 HK\$100 最高收費 HK\$20,000 另加中央結算收費	0.15% of gross consideration min. HK\$100 max. HK\$20,000 plus charge from CCASS
Warrant/ Callable Bull / Bear Contracts Exercise/ Mandatory Call of Callable Bull/ Bear Contracts 行使認股權證/牛熊證/強制贖回牛熊證	每項 HK\$50 另加中央結算收費	HK\$50 each plus charge from CCASS
Rights Issue / Warrant Conversion / Open Offer 代供股權/認股權證	每手股票 HK\$1.5 最低收費 HK\$20 另加中央結算收費	HK\$1.5 per lot min. HK\$20 plus charge from CCASS

Financing and other Service 財務及其他服務	
Margin Account Lending Rate 保證金帳戶借貸收費	HK Prime Rate + 3% (over loan credit will add extra 5%) 優惠利率 + 3% (超額之貸款會附加 5%之罰息)
Cash Account Overdue Interest 現金帳戶結欠利息	HK Prime Rate + 8% 優惠利率 + 8%
IPO subscription fee 代辦新股認購手續費	HK\$20 each time 每一申請 HK\$20
Custody Fee 股票保管服務費	Free 免費
Bounced Cheque 支票退款罰款	HK\$200 each time plus charge from bank 每宗 HK\$200 另加銀行費用
Telegraphy Transfer (Local) 本港匯款	HK\$200 each time plus charge from bank 每宗 HK\$200 另加銀行費用
Telegraph Transfer (Oversea) 海外電匯	HK\$200 each time plus charge from bank 每宗 HK\$200 另加銀行費用
IFTT (applicable on Italy based listed company in Hong Kong Exchange) 代收意大利金融交易稅(只適用於香港上市的意大利公司)	0.10% of transaction amount when purchase 成交金額之 0.10%
Forced Liquidation Handling Fee 強制平倉手續費	HK\$500 each plus 1% of gross amount of related stock(s) 每次 HK\$500 另加平倉股票市價之 1%

The above charges are subject to change without prior notice.

Effective Date: 25 Jan 2018

以上收費如有任何更改，恕不另行通知。

生效日期: 2018 年 1 月 25 日